FROM

ADE & COMPANY INC. PATENT AND TRADEMARK AGENTS

Adrian D. Battison, B.Sc. (F.C.I.P.A. (London)

Michael R. Williams. Ryan W.Dupuis

RECEIVED CENTRAL FAX CENTER

P.O. Box 28008 1795 Henderson Highway Winnipeg, Manitoba Canada R2G 4E9

OCT 2 4 2006

82402-6402

FACSIMILE COVER LETTER

PLEASE DELIVER THE FOLLOWING PAGES TO:

NAME: Commissioner of Patents - Darrell C. Cottman

FROM: Ade & Company Inc.- Michael R. Williams

TOTAL NUMBER OF PAGES 6 INCLUDING THIS COVER LETTER.

DATE: October 24, 2006

THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR AN AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL.

RE: United States Patent Application no: 10/564,679

Dissolving Film Comprising a Therapeutically Active Agent

Within the Film or in a Pouch forwarded by the Film

Jung Han

EXAMINER -Darrell C. Cottman GROUP

Response/amendment to Notification of Missing Requirements under 35U.S.C. 371 in United States Designated/elected office dated September 12, 2006

IF ALL PAGES ARE NOT RECEIVED OR ANY ARE NOT LEGIBLE. PLEASE CALL AS SOON AS POSSIBLE.

TELEPHONE (204)-947-1429

FACSIMILE (204)-942-5723

MMW Date

OPERATOR: DORIS JONES

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted of he Patent and Trademark Office on the date shown below

PORIS JONES

PAGE 1/9 * RCVD AT 10/24/2006 11:19:01 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-5/19 * DNIS:2738300 * CSID: * DURATION (mm-ss):01-32

| | To Notice To File | Docket No. 82402-6402 | | | | |
|---|--|---|------------------------------------|---|------------------------|--|
| In Re Application C | Of: Jung Han | , | | | | |
| Application No. | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. | |
| 10/564,679 | 12/22/2003 | Darrell C. Cottman | 23529 | | 1704 | |
| Invention: DISSOLVING FILM COMPRISING A THERAPEUTICALLY ACTIVE AGENT WITHIN THE FILM OR IN A POUCH FORWARDED BY THE FILM CENTRAL FAX CENTE | | | | | | |
| · | | | | | OCT 2 4 2006 | |
| | | Mail Stop Missing Pa | rts | | | |
| | | COMMISSIONER FOR PA | TENTS: | | | |
| | . | Missing Parts of Application - | Filing Date Gran | nted (PTO-1533) | mailed on | |
| Enclosed herewith | for filing are the folio | owing. | | | • | |
| | e Notice to File Missi | ing Parts of Application - Filin | g Date Granted (| (PTO-1533). (RI | EQUIRED) | |
| An oath or application be | declaration in comp by the above Applica | liance with 37 CFR 1.63, in tion Number and Filing Date. | cluding residenc | e information ar | nd identifying the | |
| ☑ A properly s | igned oath or declara | ation in compliance with 37 C | FR 1.63. | | | |
| An oath or omitted inve | declaration in comp ntor(s), identifying th | liance with 37 CFR 1.63 list is application by the above A | ing the names o pplication Numb | f all inventors ar er and Filing Dat | nd signed by the e. | |
| A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office. | | | | | | |
| <u> </u> | verified small entity declaration(s) | | | | | |
| ☐ is/are a | ttached. | | | | | |
| □ was/we | ere filed on | | | | | |
| ☐ A separate i | request for refund. | | | | | |
| Other (list): | | | | | | |
| | | | | | | |
| ļ | | | | | | |
| | | | | | | |
| | • | | | | | |

P315MALL/REV06

| - 3 |
|-----|
| |
| |

| | | | | | | cket No. 02-6402 |
|--|--|-------------------|--------------------|--------------------|--------------------|------------------------------------|
| In Re Applica | on Of: Jung Han | | | | | |
| Application N | . Filing Date | Exan | niner | Customer No. | Group Art Unit | Confirmation No. |
| 10/564,679 | | | . Cottman | 23529 | | 1704 |
| | ISSOLVING FILM CO R IN A POUCH FORW | | | ALLY ACTIVE | | N THE FILM RECEIVED ENTRAL FAX CEN |
| | | | | | | OCT 2 4 200 |
| | Ţ | O THE COMMI | | | | |
| D 0 | lan af a askar tire for | | op Missing Par | <u>ts</u> | | £ = |
| | on of application fees a | s calculated be | iow: | | | |
| | ty application basic fee | | | | | |
| ☐ De | ign application basic fe | ₽ | | | | |
| Ü Se | rch Fee | | | | | \$0.00 |
| ☐ Ex | mination Fee | | | | | \$0.00 |
| ☐ Total number of independent claims = | | | | | | |
| Total number of claims = | | | | | | |
| ☐ Multiple dependent claims | | | | | | |
| . 🔲 Su | charge for late payment | of filing fee and | d/or late filing o | f original declara | ition or oath | |
| Petition and fee for filing by other than all the inventors or a person not the inventor | | | | | | |
| ☐ Fee for processing an application filed with a non-English language specification | | | | | | |
| ☐ Fee | for processing and rete | ention of applica | ation | | | |
| | | | Tota | l completion of a | application fees | |
| bove-identifie | lest under the provision I Notice to File Missing additional time extensio | Parts of Applic | ation. The red | quested extension | on is as follows (| |
| ☐ One | nonth 🗆 Two m | nonths 🗆 | Three months | ₃ | ionths 🔲 i | Five months |
| from: | Date | | until: | | Date | |
| | Date | | | | | |
| | | | | i otai time | e extension fees | |
| | | | | | Total fees due | |

PRIORITY DATE

12/26/2002



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
Admission, Vegicia: 22313-1450
www.usppa.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO.

10/564,679 Jung Han 82402-6402

INTERNATIONAL APPLICATION NO.

ADE & COMPANY P.O. BOX 28006 1795 HENDERSON HIGHWAY WINNIPEG, MB R2G OP1 CANADA

PCT/CA03/01958

I.A. FILING DATE

12/22/2003

Date Mailed: 09/12/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- · Indication of Small Entity Status
- Copy of the International Application filed on 01/13/2006
- Copy of the International Search Report filed on 01/13/2006
- Request for Immediate Examination filed on 01/13/2006
- U.S. Basic National Fees filed on 01/13/2006

RECEIVED

SEP 2 6 2006

ADE & COMPANY INC.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

DARRELL C COTTMAN

Page 2 of 2

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/564,679 | PCT/CA03/01958 | 82402-6402 |

FORM PCT/DO/EO/905 (371 Formalities Notice)

SERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile ransmitted of he Patent and Trademark Office on the late shown below

ORIS JONE\$